

Import LC Update Drawing Islamic User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Update Drawing Islamic User Guide
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Contents

Oracle Banking Trade Finance Process Management	1
Overview	1
Benefits	1
Key Features	1
Import LC Update Drawing Islamic	2
Common Initiation Stage	2
Registration	3
Application Details	5
Drawing LC Details	7
Miscellaneous	10
Scrutiny	11
Main Details	13
Drawing Summary	17
Maturity Details	26
Additional Details	28
Summary	36
Data Enrichment	38
Main Details	40
Document Details	40
Shipment Details	41
Additional Conditions	41
Discrepancy Details	41
Maturity Details	41
Advices	41
Additional Details	42
Settlement Details	54
Summary	56
Exceptions	58
Exception - Amount Block	58
Exception - Know Your Customer (KYC)	59
Multi Level Approval	61
Authorization Re-Key (Non-Online Channel)	61
Summary	62
Reject Approval	63
Application Details	64
Summary	64
Action Buttons	64
Reference and Feedback	4
References	4
Documentation Accessibility	4
Feedback and Support	4

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM facilitates its customers to initiate new Trade transactions/handle response to existing transaction through Trade portals, or by visiting the branch or through SWIFT.

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Update Drawing Islamic

Details of Drawing under an Import LC can be updated before liquidation, this can be on account of applicant response on discrepancy or change of maturity date etc. The update can be requested through Online/Offline channels.

The various stages involved for Islamic Import LC Drawing update are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents received from the presenting bank
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of update Drawing - Data Enrichment stage
- Check for limit availability if applicable
- Check balance availability for amount block if applicable
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Import LC Drawing Update process flow is similar to that of conventional Import LC Drawing Update process flow.

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Exceptions	Multi Level Approval
Reject Approval	

Common Initiation Stage

The user can initiate the new import LC update drawing request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the drawing reference number.
Branch	Select the branch.

Action Buttons

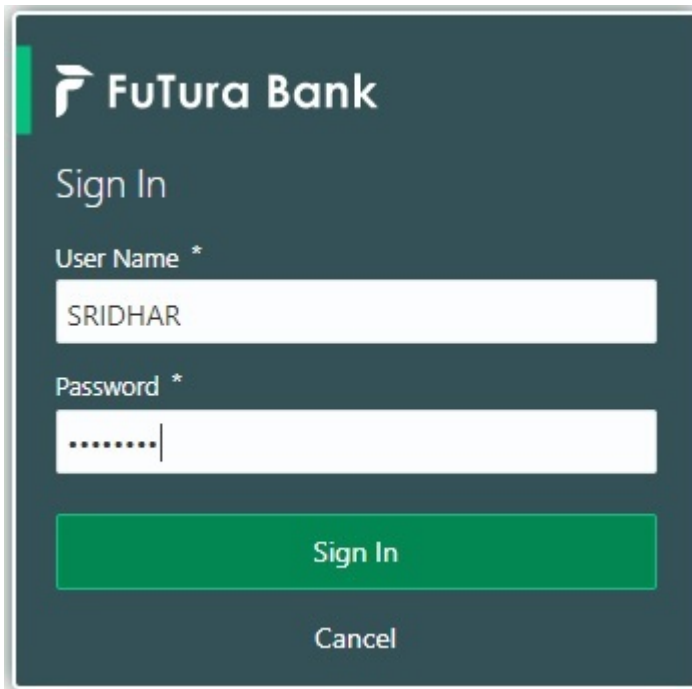
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

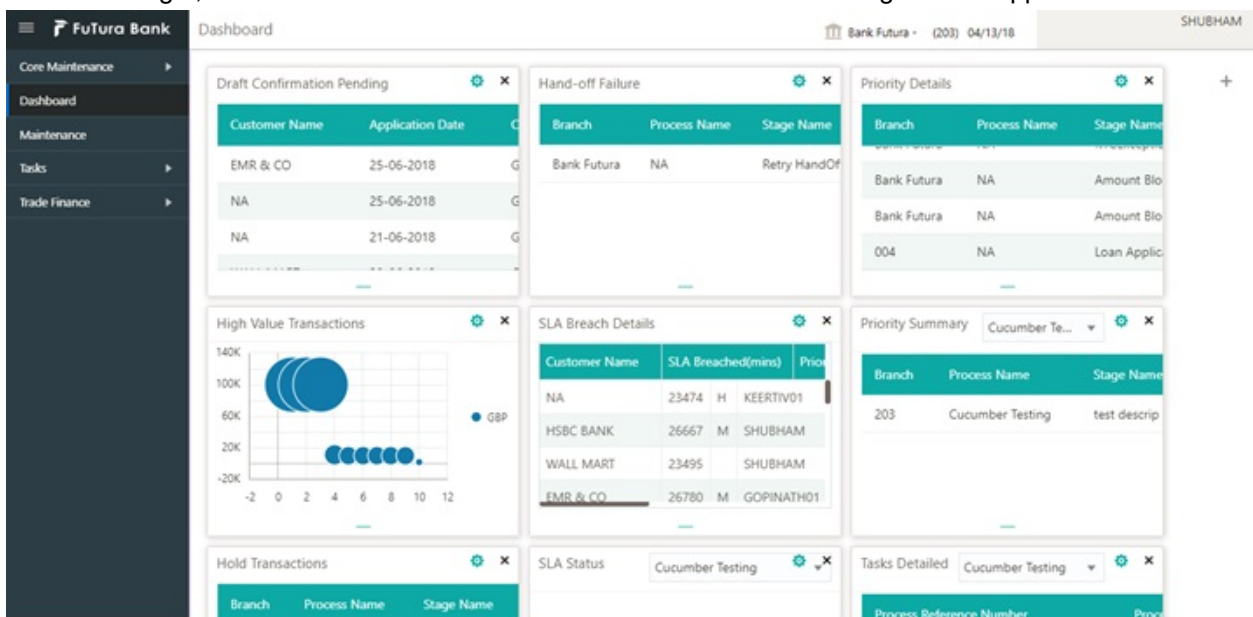
During Registration stage, user registers request for an Islamic Import LC Update Drawing at the front desk (as an application received physically/Courier). User can also capture the basic details, check the signature of the applicant and upload the documents received from presenting bank. System also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button and a 'Cancel' link are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image shows the 'FuTura Bank' dashboard. The left sidebar contains navigation links: Core Maintenance, Dashboard (selected), Maintenance, Tasks, and Trade Finance. The main area displays several widgets:

- Draft Confirmation Pending:** A table with columns 'Customer Name' and 'Application Date'. Data rows include 'EMR & CO' (25-06-2018), 'NA' (25-06-2018), and 'NA' (21-06-2018).
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row includes 'Bank Futura', 'NA', and 'Retry HandOf'.
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data rows include 'Bank Futura', 'NA', 'Amount Blo', and '004', 'NA', 'Loan Applic'.
- High Value Transactions:** A bubble chart showing transactions for 'GBP' with values ranging from -20K to 140K.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', and 'Priority'. Data rows include 'NA' (23474, H, KEERTIV01), 'HSBC BANK' (26667, M, SHUBHAM), 'WALL MART' (23495, M, SHUBHAM), and 'EMR & CO' (26780, M, GOPINATH01).
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row includes '203', 'Cucumber Testing', and 'test descrip'.
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.
- SLA Status:** A dropdown menu showing 'Cucumber Testing'.
- Tasks Detailed:** A table with columns 'Process Reference Number' and 'Process'.

3. Click Trade Finance- Islamic > Import - Documentary Credit> Import LC Drawing Update- Islamic.


The screenshot shows the 'Trade Finance - Islamic' menu on the left. The 'Import LC Update Drawings - Islamic' option is highlighted. Two pop-up windows are displayed: 'Model Tag Performance' (showing 'No data to display') and 'Pending Exception Approval (0)'. Below these, there are two tables. The first table, 'Draft Confirmation Pending', has columns for 'Process Reference Number', 'Customer Id', and 'Applicat'. The second table, 'Hand-off Failure', has columns for 'Process Reference Number', 'Branch', and 'P'.


The Registration stage has two sections Basic Details and Drawing Details. Let's look at the details of Registration screens below:

Application Details

The screenshot shows the 'Import LC Update Drawings - Islamic' application details screen. The 'Application Details' section includes fields for Drawing Reference Number (PK2IBCL211253502), Version No (1), Branch (PK2-Oracle Banking Trade Finan...), Drawing Date (May 5, 2021), Document Received Date (May 5, 2021), Received From - Customer Name (GOODCARE PLC), Process Reference Number (PK2ILLU000071081), Drawing Update Date (May 5, 2021), Received From - Customer ID (001044), Priority (Medium), and Presenting Bank (003763 CITIBANK IRE). The 'Drawing LC Details' section includes fields for Tenor Type, Product Code (IBCL), Outstanding LC Value (GBP £20,000.00), Discount Amount (GBP), Documents Received (Yes), Product Description (INCOMING SIGHT BILLS UNDER LC PAY), Drawing Amount (GBP £20,000.00), Unlinked FX Rate, Document Set, Operation Type (PAY), Amount In Local Currency (GBP £20,000.00), Shipping Guarantee Reference, Duplicate (toggle), Stage (Initial), Additional Amount (GBP £0.00), and Rebate Amount (GBP). The 'Back to Back LC' section has a toggle switch. At the bottom, there are buttons for 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	<p>Provide the drawing reference number. Alternatively user can search the drawing number using LOV.</p>  <p>Note In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p>	
Version Number	<p>Read only field.</p> <p>Version number is the versions of the drawing update.</p>	
Documentary Credit Number	<p>Read only field.</p> <p>Documentary credit number will be auto-populated based on selected LC from the LOV.</p>	
Received From - Customer ID	<p>Read only field.</p> <p>Customer ID will be auto-populated based on the selected LC from the LOV.</p>	001344
Received From - Customer Name	<p>Read only field.</p> <p>Customer Name will be auto-populated based on the selected LC from the LOV.</p>	EMR & CO
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC from the LOV.</p>	203-Bank Futura -Branch FZ1
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p>	
Priority	<p>System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.</p>	High
Submission Mode	<p>Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk- Request received through Desk</p> <p>Courier- Request received through Courier</p>	Desk
Drawing Date	<p>Read only field.</p> <p>Drawing date will be auto-populated based on the selected drawing.</p>	04/13/2018

Field	Description	Sample Values
Drawing Update Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	
Presenting Bank	Read only field. Presenting bank details will be auto-populated based on the selected LC from the LOV.	
Presenting Bank Reference Number	Read only field. Presenting bank reference number will be auto-populated based on the selected LC from the LOV.	
Document Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Future date selection is not allowed.	

Drawing LC Details

Drawing Details will be populated from the drawings. Registration user can update the fields if required.

Drawing LC Details

Tenor Type

Product Code

JBCL

Outstanding LC Value

GBP £20,000.00

Discount Amount

GBP

Back to Back LC

Documents Received

Yes

Product Description

INCOMING SIGHT BILLS UNDER LC PAY

Drawing Amount

GBP £20,000.00

Unlinked FX Rate

Document Set

Operation Type

PAY

Amount In Local Currency

GBP £20,000.00

Shipping Guarantee Reference

Duplicate

Stage

Initial

Additional Amount

GBP £0.00

Rebate Amount

GBP

Hold


Cancel

Save & Close

Submit

Provide the drawing Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the LOV: <ul style="list-style-type: none"> Sight Usance Mixed 	

Field	Description	Sample Values
Documents Received	<p>Set the document received status as 'Yes' or 'No' from the LOV.</p>  <p>Note:</p> <p>In case a drawing was booked without documents (For example against MT750) and the documents are subsequently received from the Presenting Bank, user has to initiate the update drawing Process. User will mark the Documents Received as Yes, however the user will not select the duplicate document toggle. Instead the user will go to "Document Details" hop and capture the document details.</p>	
Document Set	<p>Select the set of import document received:</p> <ul style="list-style-type: none"> • First • Second • Both <p>The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.</p>	
Duplicate	<p>If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.</p> <p>This toggle when enabled, does not allow the user to update any other field within the task except for capturing the remarks.</p>	
Product Code	<p>Read only field.</p> <p>Product code will be auto-populated based on the selected drawing.</p>	
Product Description	<p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p>	
Operation Type	<p>Select the operation type from the LOV:</p> <ul style="list-style-type: none"> • Payment • Collection • Acceptance • Discount • NEG 	
Stage	<p>Select the stage from the LOV:</p> <ul style="list-style-type: none"> • Initial • Final 	

Field	Description	Sample Values
Outstanding LC Value	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Drawing Amount	Provide the drawing amount under the LC for which documents have been submitted.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Additional Amount	This field enables user to provide any additional amount to be included under the LC drawing.	
Discount Amount	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Unlinked FX Rate	Provide the unlinked FX rate.	
Shipping Guarantee Reference	User can link an existing Shipping Guarantee using this field.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	
Back to Back LC	Flag to check if the Import LC is a back to back LC. Toggle On: Set the Toggle On if back to back LC is applicable. Toggle Off: Set the Toggle Off if back to back LC is applicable.	

Miscellaneous

Import LC Update Drawings - Islamic

Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

Drawing Reference Number *
PK2IBCL211253502

Version No
1

20 - Documentary Credit Number
PK2IRLI211252503

Received From - Customer ID
001044

Received From - Customer Name
GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2IILU000071081

Priority
Medium

Submission Mode
Desk

Drawing Date *
May 5, 2021

Drawing Update Date *
May 5, 2021

Presenting Bank
003763 CITIBANK IRE

Presenting Bank Reference Number
ILCDRWISL-11

Document Received Date
May 5, 2021

View LC Events

Drawing LC Details

Tenor Type

Documents Received
Yes

Document Set

Duplicate

Product Code
IBCL

Product Description
INCOMING SIGHT BILLS UNDER LC PAY

Operation Type
PAY

Stage
Initial

Outstanding LC Value
GBP £20,000.00

Drawing Amount
GBP £20,000.00

Amount In Local Currency
GBP £20,000.00

Additional Amount
GBP £0.00

Discount Amount
GBP

Unlinked FX Rate

Shipping Guarantee Reference

Rebate Amount
GBP

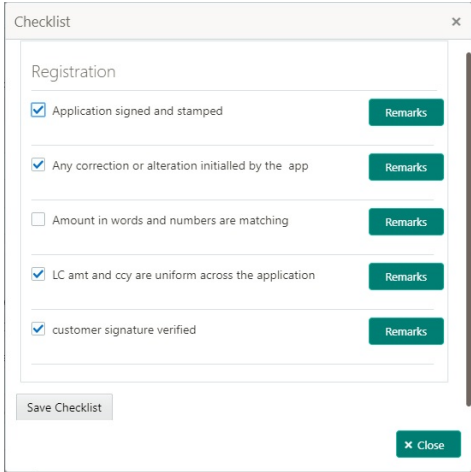
Back to Back LC

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
LC Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance.	

Action Buttons

Field	Description	Sample Values
Submit	On submit, task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Update Drawing Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

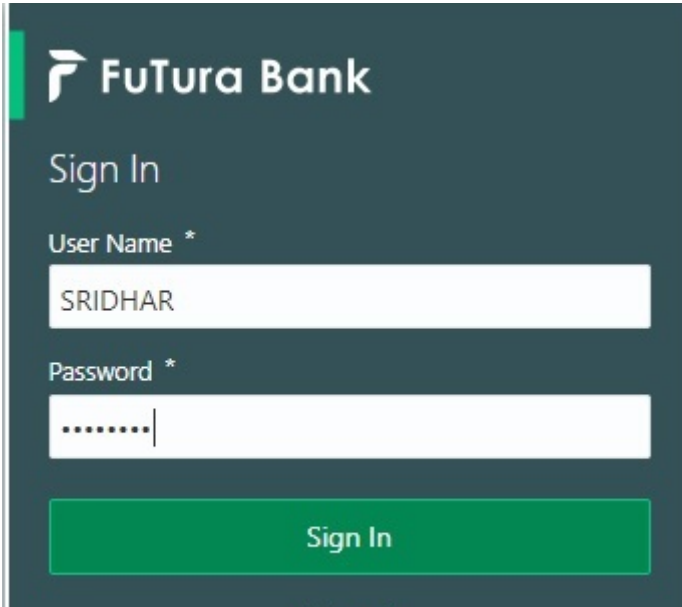
Scrutiny

A Scrutiny user can scrutinize the request for Islamic Import LC Drawing update.

As part of scrutiny, the user can enter/update basic details of the Islamic LC Drawing update request and can verify if the request can be progressed further. The task initiated from the online channel (OBDX/ SWIFT MT798) should be created in the Scrutiny stage directly as in conventional process flow.

Do the following steps to acquire a task at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

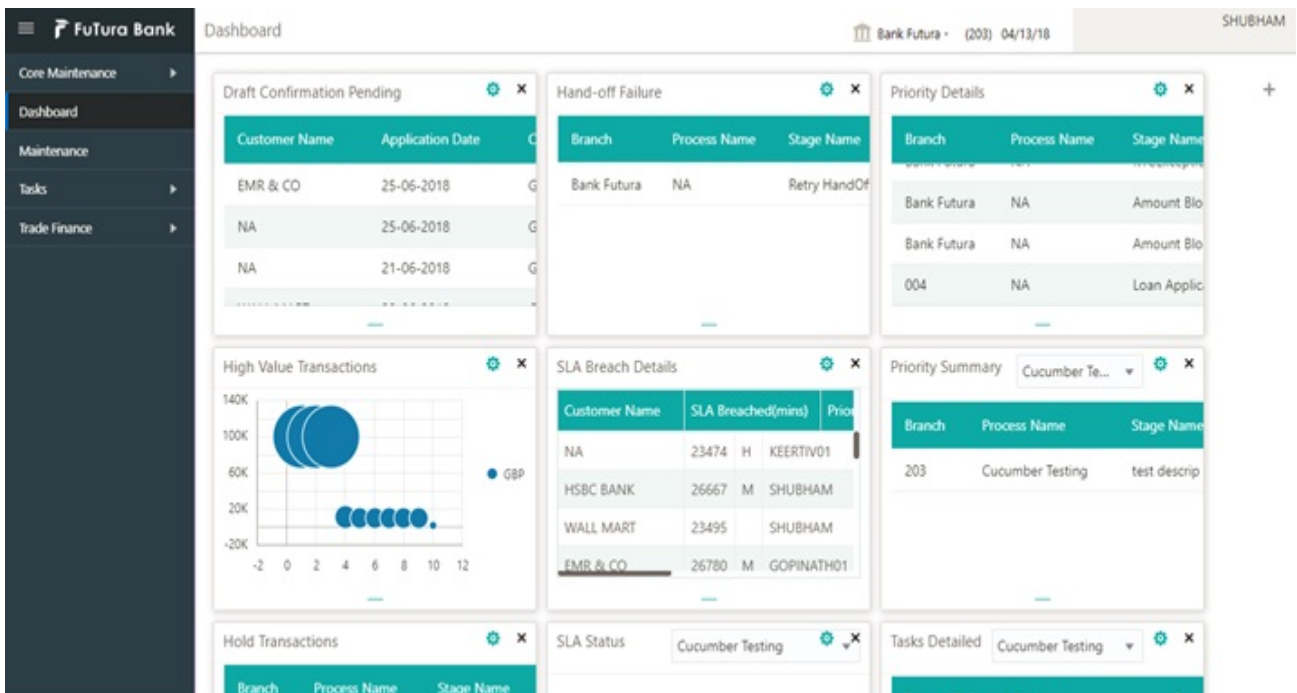
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Draft Confirmation Pending

Customer Name	Application Date	Stage
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

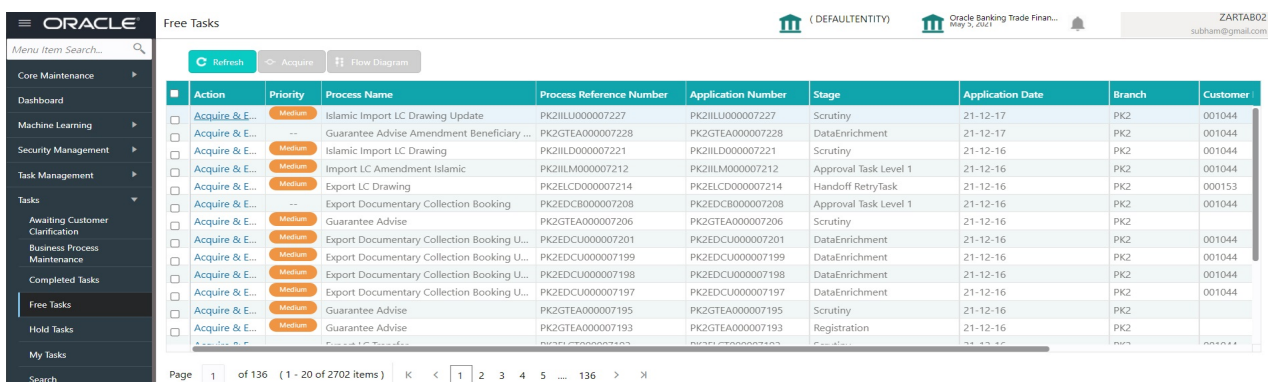
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



ORACLE Free Tasks

Menu Item Search...

Core Maintenance
Dashboard
Machine Learning
Security Management
Task Management
Tasks
Awaiting Customer Clarification
Business Process Maintenance
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Search

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Acquire & E...	Medium	Islamic Import LC Drawing Update	PK2ILLU000007227	PK2ILLU000007227	Scrutiny	21-12-17	PK2	001044
Acquire & E...	Medium	Guarantee Advise Amendment Beneficiary ...	PK2GTEA000007228	PK2GTEA000007228	DataEnrichment	21-12-17	PK2	001044
Acquire & E...	Medium	Islamic Import LC Drawing	PK2ILLD000007221	PK2ILLD000007221	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Import LC Amendment Islamic	PK2ILLM000007212	PK2ILLM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & E...	Medium	Export Documentary Collection Booking	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Booking U...	PK2EDCU000007201	PK2EDCU000007201	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Booking U...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Booking U...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Booking U...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044

Page 1 of 136 (1 - 20 of 2702 items)

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Menu Item Search...

Core Maintenance Dashboard Machine Learning Security Management Task Management Tasks

Acquire & Edit Medium Islamic Import LC Drawing Update PK2IILU000007227 PK2IILU000007227 Scrutiny 21-12-17 PK2 001044

Acquire & Edit Medium Guarantee Advise Amendment Beneficiary ... PK2GTEA000007228 PK2GTEA000007228 DataEnrichment 21-12-17 PK2 001044

Acquire & Edit Medium Islamic Import LC Drawing PK2IILD000007221 PK2IILD000007221 Scrutiny 21-12-16 PK2 001044

Acquire & Edit Medium Import LC Amendment Islamic PK2IILM000007212 PK2IILM000007212 Approval Task Level 1 21-12-16 PK2 001044

Acquire & Edit Medium Export LC Drawing PK2ELCD000007214 PK2ELCD000007214 Handoff RetryTask 21-12-16 PK2 000153

Acquire & Edit Medium Export Documentary Collection Booking PK2EDCB000007208 PK2EDCB000007208 Approval Task Level 1 21-12-16 PK2 001044

Acquire & Edit Medium Guarantee Advise PK2GTEA000007206 PK2GTEA000007206 Scrutiny 21-12-16 PK2 001044

Acquire & Edit Medium Export Documentary Collection Booking U... PK2EDCU000007201 PK2EDCU000007201 DataEnrichment 21-12-16 PK2 001044

Acquire & Edit Medium Export Documentary Collection Booking U... PK2EDCU000007199 PK2EDCU000007199 DataEnrichment 21-12-16 PK2 001044

Acquire & Edit Medium Export Documentary Collection Booking U... PK2EDCU000007198 PK2EDCU000007198 DataEnrichment 21-12-16 PK2 001044

Acquire & Edit Medium Export Documentary Collection Booking U... PK2EDCU000007197 PK2EDCU000007197 DataEnrichment 21-12-16 PK2 001044

Acquire & Edit Medium Guarantee Advise PK2GTEA000007195 PK2GTEA000007195 Scrutiny 21-12-16 PK2 001044

Acquire & Edit Medium Guarantee Advise PK2GTEA000007193 PK2GTEA000007193 Registration 21-12-16 PK2 001044

Page 1 of 136 (1 - 20 of 2702 items) K < 1 2 3 4 5 ... 136 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Task Management Tasks

Acquire & Edit Medium Islamic Import LC Drawing Update PK2IILU000007227 PK2IILU000007227 Scrutiny 21-12-17 PK2 001044

Edit Medium Import LC Issuance Islamic PK1IILU000007200 PK1IILU000007200 KYC Exceptional approval 21-12-16 PK2 000325

Edit Medium Shipping Guarantee Issuance PK2SGTI000007183 PK2SGTI000007183 DataEnrichment 21-12-16 PK2 001044

Edit Medium Lodge Claim - Guarantee Issued PK2GTGEC000007179 PK2GTGEC000007179 Scrutiny 21-12-16 PK2 001044

Edit Medium Guarantee advise claim lodging PK2GADCO000007176 PK2GADCO000007176 DataEnrichment 21-12-16 PK2 001044

Edit Medium Guarantee Issuance Closure PK2GTGEC000007172 PK2GTGEC000007172 DataEnrichment 21-12-16 PK2 001044

Edit Medium Guarantee SBLC Issuance -Claim ... PK2GISCO000007171 PK2GISCO000007171 DataEnrichment 21-12-16 PK2 001044

Edit Medium Guarantee Issuance Internal Ame... PK2GTEI000007167 PK2GTEI000007167 DataEnrichment 21-12-16 PK2 001044

Edit Medium Guarantee Issuance Internal Ame... PK2GTEI000007163 PK2GTEI000007163 Registration 21-12-15 PK2 001044

Edit Medium Guarantee Issuance Amendment B... PK2GTEI000007162 PK2GTEI000007162 DataEnrichment 21-12-15 PK2 001044

Edit Medium Guarantee Cancellation PK2GTGEC000007160 PK2GTGEC000007160 DataEnrichment 21-12-15 PK2 000325

Edit Medium Guarantee Advise Cancellation PK2GTAC000007159 PK2GTAC000007159 DataEnrichment 21-12-15 PK2 001044

Edit Medium Guarantee Advise Amendment PK2GTAA000007158 PK2GTAA000007158 DataEnrichment 21-12-15 PK2 001044

Page 1 of 9 (1 - 20 of 168 items) K < 1 2 3 4 5 ... 9 > X

The Scrutiny stage has hops which are as follows:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User must be able to enter/update the following fields. Fields with pre-defaulted values are non editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Drawing LC Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Islamic Import LC Drawing Update
Scrutiny :: Application No:- PK2IILU000071081

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Screen (1 / 5)

Main Details

Application Details

Drawing Reference Number * PK2IBCL211253502

Received From - Customer Name GOODCARE PLC

Submission Mode Desk

Presenting Bank Reference Number ILCDRWISL-11

Version No 1

Branch PK2-Oracle Banking Trade Finan...

Drawing Date * May 5, 2021

Document Received Date May 5, 2021

20 - Documentary Credit Number PK2IRLU211252503

Process Reference Number PK2IILU000071081

Drawing Update Date * May 5, 2021

Received From - Customer ID 001044

Priority Medium

Presenting Bank 003763 CITIBANK IREI

Drawing LC Details

Tenor Type

Product Code IBCL

Outstanding LC Value GBP £20,000.00

Discount Amount GBP

Back to Back LC

Documents Received Yes

Product Description INCOMING SIGHT BILLS UNDER LC PAY

Drawing Amount GBP £20,000.00

Unlinked FX Rate

Document Set

Operation Type PAY

Amount In Local Currency GBP £20,000.00

Shipping Guarantee Reference

Duplicate

Stage Initial

Additional Amount GBP £0.00

Rebate Amount GBP

Audit Reject Refer Hold Cancel Save & Close Back Next

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

Drawing LC Details

The fields listed under this section are same as the fields listed under the [Drawing LC Details](#) section in [Registration](#). Refer to [Drawing LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Drawing LC Details

Tenor Type

Product Code DCN8

Outstanding LC Value USD \$1,000.00

Documents Received Yes

Product Description INCOMING SIGHT BILLS UNDER LC PAY

Drawing Amount USD \$1,000.00

Unlinked FX Rate

Document Set

Operation Type PAY

Amount In Local Currency GBP £769.23

Shipping Guarantee Reference

Duplicate

Stage Initial

Additional Amount USD \$0.00

Audit Reject Refer Hold Cancel Save & Close Back Next

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Documents Received	Set the document received status as 'Yes' or 'No' from the drop down.	
Duplicate	If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.	

Field	Description	Sample Values
Operation Type	Select the operation type from the LOV: <ul style="list-style-type: none"> • Payment • Collection • Acceptance • Discount • NEG 	
Stage	Select the stage from the LOV: <ul style="list-style-type: none"> • Initial • Final 	
Discount Amount	This field enables user to provide the discount amount to be processed under the LC drawing.	
Unlinked FX Rate	Provide the unlinked FX rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Displays the incoming message, if any.	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Drawing Summary

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any. Provide the information based on the description in the following table:

Document Details

Document Code	Document Reference Number	Copy	Original	Description
AIRDOC				SET of Clauses for CIF
BOL				
INSDOC				INSURANCE POLICY OR CERTIFICATE ENDORSED IN BLANK FOR NOT LESS THAN 110PCT INVOICE VALUE, STIPULATING THAT CLAIMS ARE PAYABLE AT DESTINATION
INVDOC				Commercial invoice, duly signed
MARDOC				CLEAN SEA WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MARKED 'FREIGHT COLLECT / PREPAID' INDICATING THIS CREDIT NUMBER.

Page 1 of 2 (1-5 of 7 items) < 1 2 >

Save & Close Cancel

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables user to provide the reference number available in the documents.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of the document required as per LC.	
Received Copy	Update the number of duplicate copies of documents received.	
Received Original	Update the number of originals of documents received.	

Field	Description	Sample Values
Received Description	Provide the description of the documents received from presenting bank.	
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Resolved Under MT750	If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.	

Shipment Details

User must check whether the received documents of goods and shipment are as per the LC.

Shipment Details ×

Goods Details

Select	Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750
No data to display.							

Country of Origin

Insurance Company

Insurance Company Address

Shipment Details

Date of Shipment

Shipping Agent

Date of Presentation *

May 5, 2021

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750
No data to display.						

Carrier Details

Carrier Name

Port

+

-

Save & Close

Cancel

Goods Details

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
Goods Code	This field displays goods code as per latest LC.	
As per LC	This field displays description of the goods as per the latest LC.	

Field	Description	Sample Values
As per Documents	Provide the description of the goods as per the documents.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Resolved Under MT750	If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.	
Country of Origin	Provide the country of origin as per the documents received.	
Insurance Company	Provide the details of insurance company as per the document received.	
Insurance Company Address	Provide the insurance company address as per the document received.	

Shipment Details

Shipment Details ✕

Goods Details

Select	Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750
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No data to display.

Country of Origin

Insurance Company

Insurance Company Address

Shipment Details

Date of Shipment

Shipping Agent

Date of Presentation *

May 5, 2021

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750
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Carrier Details

Carrier Name

Port

No data to display.

Save & Close

Cancel

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	Provide the date of shipment as per the documents received. This field is validated against the Latest Date of Shipment in LC to check for discrepancy.	
Carrier Name	Provide the carrier name as per the document received.	
Shipping Agent	Provide the shipping agent details based on the documents received.	
Date of Presentation	Read only field. Auto-populated based on the system date. If Place of expiry is Issuing bank, This field is validated against the Expiry Date in LC to check for discrepancy.	
Details	Details represent the fields in latest LC.	
As per LC	This field displays description of the fields as per the latest LC terms.	
As Per Document	Provide the description of the field as per documents submitted.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Field	Description	Sample Values
Resolved Under MT750	<p>If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle.</p> <p>The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.</p>	

Carrier Details

If the shipment involves multiple carrier and or shipment passes through multiple ports, the details will be captured under this segment.

Carrier Details

+

-

	Carrier Name	Port
--	--------------	------

No data to display.

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Additional Conditions

Additional Conditions

+

FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750	Action
SNDRRCVRINFO	sndrrcvrinfo	<input type="checkbox"/>	CERT	H.S. CODE NO.9401.7900 SUGHER ARREAR ON BILL OF		

Page 1 of 1 (1 of 1 items)

Save & Close

Cancel

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	

Field	Description	Sample Values
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Resolved Under MT750	<p>If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle.</p> <p>The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.</p>	

Instruction Details

User can capture one of the applicable instruction codes for the drawing. System will populate the instruction description for the selected instruction code.

Field	Description	Sample Values
Instruction Code	Select the applicable instruction code for the drawing.	
Instruction Description	This field displays the instruction description based on the instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Events	Click this button to view all the Undertaking events under the LC Issued till date.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Cancel	Cancel the Import LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Discrepancy Details

This section displays the list of discrepancies captured. This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary.

Discrepancy Details

Discrepancy Details

Accept All
Reject All

Select	Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks	Resolved Under MT750	Action
No data to display.							

Page 1 (0 of 0 items)

MT 752 - Further Identification

Save & Close
Cancel

Field	Description	Sample Values
Accept All	To accept all the discrepancy. System will auto update the Discrepancy status as 'Accepted' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.	
Reject All	To reject all the discrepancy. System will auto update the Discrepancy status as 'Rejected' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.	
Discrepancy Code	Read only field. This field displays the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Read only field. This field displays the description based on the description code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	
Resolved Date	Update the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	
Resolved Under MT750	The discrepancies which are resolved under MT750 are listed here and will not be available in the Discrepancy summary field.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in your queue for working later. This option will not submit the request.	

Field	Description	Sample Values
Cancel	Cancel the Import LC Update Drawing Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Maturity Details

This field displays the maturity details and this section. A Scrutiny user can input the Maturity details data segment of an Islamic Import LC Update Drawing.

Islamic Import LC Drawing Update
Scrutiny :: Application No:- PK2IILU000071081

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Main Details Drawing Summary **Maturity Details** Additional Details Summary

Maturity Details

Tenor Type: Sight

Tenor Basis: [Search Icon] [Calendar Icon]

Start Date: May 5, 2021 [Calendar Icon]

Tenor Days: [Input Field]

Transit Days: [Input Field]

Maturity Date: May 5, 2021 [Calendar Icon]

Usance Profit Rate: [Input Field]

Profit From Date: [Calendar Icon]

Profit To Date: [Calendar Icon]

Acceptance Commission From Date: [Calendar Icon]

Acceptance Commission To Date: [Calendar Icon]

Other Bank Charges

Other Bank Charges-1: [Input Field]

Other Bank Charges-2: [Input Field]

Other Bank Charges-3: [Input Field]

Other Bank Profits

Other Bank Profit-1: [Input Field]

Other Bank Profit-2: [Input Field]

Other Bank Profit-3: [Input Field]

Other Details

Debit Value Date: May 5, 2021 [Calendar Icon]

Credit Value Date: May 5, 2021 [Calendar Icon]

Value Date: May 5, 2021 [Calendar Icon]

Allow Pre-Payment: [Toggle Switch]

Future Finance Details

Future Advance Date: [Calendar Icon]

Future Finance Amount: GBP [Input Field]

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (3 / 5)

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Update the tenor basis, if the tenor is not sight. The tenor base code description is displayed based on the selected tenor basis.	
Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity Date	System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Usance Profit Rate	Provide the usance interest rate.	

Field	Description	Sample Values
Profit Amount	Provide the usance interest amount for the LC value as per the tenor basis.	
Profit from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Profit To Date	Select the interest to date.	
Accept Commission From Date	Provide the acceptance commission start date.	
Accept Commission To Date	Provide the acceptance commission to date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	

Other Bank Profits

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Profit - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Profit - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Profit - 3	Charges to be collected for the other bank as part of the drawings transaction.	

Other Details

Provide the other bank details based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Capture the debit value date.	
Credit Value Date	Capture the credit value date.	
Value Date	Capture the value date.	

Field	Description	Sample Values
Allow Pre-Payment	<p>Toggle On: Set the toggle 'On' to enable pre-payment.</p> <p>Toggle Off: Set the toggle 'Off' to disable pre-payment.</p>	

Future Finance Details

Provide the future finance details based on the description in the following table:

Field	Description	Sample Values
Future Advance Date	<p>Select the future advance date to capture advanced requested Date.</p> <p>Future Advance Date should be less than the Bill Maturity Date and should be greater than the current branch date.</p> <p>System should default the "Future Advance Date" which has been captured already as part of the latest version of the contract and should allow the user to modify the same.</p> <p>This field is enabled if the operation code is "ACC".</p>	
Future Finance Amount	Specify the future finance amount.	

Additional Details

A Scrutiny user can verify/input/update the additional details Data Segment of the Islamic Import LC update Drawing request

As part of Additional details section, LC may have impact on the Limits, Collaterals and Charge section.

Islamic Import LC Drawing Update - Scrutiny :: Application No: PK2IILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Screen (4 / 5)

- Main Details
- Drawing Summary
- Maturity Details
- Additional Details**
- Summary

Limits & Collaterals	Charge Details	Assignment of Proceeds
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : USD Collateral Contribution : 880 Contribution :	Charge : Commission : Tax : Block Status :	AssignmentOfProceeds: No Assignee Name : Assigned Amount :

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff

Limit Details

Customer Id

001044

Line ID *

001044

Contribution % *

100.0

Limits Description

Contribution Amount *

£2,200.00

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Limit Available Amount

£999,528,418,464.36

Expiry Date

Response Message

The Earmark can be performed as the f

Verify

Save & Close

Close

the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

Limits & Collaterals

Limit Details

Customer ID

Line ID

Contribution %

Contribution Currency

Contribution Amount

Limit Check Response

Response Message

Edit

Delete

Cash Collateral Details

Collateral Percentage *

90.0

Collateral Currency and amount

USD

\$18,000.00

Exchange Rate

1

Sequence Number

Settlement Account Currency

Settlement Account

Exchange Rate

Collateral %

Contribution Amount

Contribution Amount in Account Currency

Account Balance Check Response

Response Message

Edit

Delete

1

PK20010440017

0

\$0.00

VN

1

Save & Close

Cancel

Limit Details

Customer Id

001044

Line ID *

001044

Contribution % *

100.0

Limits Description

Contribution Amount *

£2,200.00

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Limit Available Amount

£999,528,418,464.36

Expiry Date



Response Message

The Earmark can be performed as the f

Verify

Save & Close

Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Delete Icon 	Click delete icon to remove any existing Limit Details.	
Edit	Click edit link to edit the limit details.	
Customer ID	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution%	System will default this to 100%.	
Limits Description	This field displays the limits description.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

This section displays the collateral details:

Collateral Details

Total Collateral Amount *
\$18,000.00

Sequence Number
1.0

Collateral Contribution Amount *
\$10,080.00

Settlement Account Currency
GBP

Contribution Amount in Account Currency

Response
VN

Collateral Amount to be Collected *
\$18,000.00

Collateral Split % *
56.0

Settlement Account *
PK20010440017

Exchange Rate

Account Available Amount

Response Message

Verify

Save & Close
Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral%	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	

Field	Description	Sample Values
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	

Commission, Charges and Taxes Details

Charge Details

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Cancel

Commission Details

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	

Field	Description	Sample Values
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

This section displays charge details:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTfPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTfPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.


Assignment of Proceeds

Assignment Paid By Importer
☐

Assignee Details

	Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Assignee Bank Details	Account With Institution
<input type="checkbox"/>	0	001204 <input type="text" value="ertvtrtr"/>	0.17	GBP	\$100.00	<input type="text" value=""/>	<input type="text" value=""/>
<input type="checkbox"/>	1	000335 <input type="text" value="SH TEST CORP"/>		GBP		003723 <input type="text" value="CITIBANK LON"/>	001183 <input type="text" value="RABO BANK"/>

Save & Close
Cancel

Field	Description	Sample Values
Assignment Paid By Importer	Slide the toggle if assignment is paid by importer.	
Sequence	User can update the sequence number.	
Assignee ID & Assignee Details	<p>User can select the assignee id. Assignee details appear based on selected assignee ID.</p> <p>User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.</p> <div>  <p>Note</p> <p>WALKIN customers is allowed as assignee.</p> </div>	

Field	Description	Sample Values
Assignment %	User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places	
Currency	User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.	
Assigned Amount	User can input the amount that has to be assigned to the assignee. If the user has already inputted the assignment percentage, system to calculate the amount and populate the value	
Assignee Bank Details	User can select the assignee bank.	
Account with Institution	User can select the account number of the Account with Institution.	

Summary

User can review the summary of details updated in Data Enrichment stage of Islamic Import LC Drawing request.

Islamic Import LC Drawing Update - Scrutiny :: Application No: PK2IILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Screen (5 / 5)

- Main Details
- Drawing Summary
- Maturity Details
- Additional Details
- Summary**

Summary																	
<table> <tr> <th>Main Details</th> <th>Document Details</th> <th>Shipment Details</th> <th>Additional Conditions</th> </tr> <tr> <td> Product Code : DCN8 Currency : USD Amount : 1000 </td> <td> Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC </td> <td> Goods Code : Goods Description : </td> <td> FFT Code 1 : FFT Code 2 : </td> </tr> </table>	Main Details	Document Details	Shipment Details	Additional Conditions	Product Code : DCN8 Currency : USD Amount : 1000	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : FFT Code 2 :	<table> <tr> <th>Discrepancy Details</th> <th>Maturity Details</th> <th>Limits and Collaterals</th> <th>Commission,Charges and Taxes</th> </tr> <tr> <td> Discrepancy Code 1 : Discrepancy Code 2 : </td> <td> Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05 </td> <td> Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified </td> <td> Charge : Commission : Tax : Block Status : Not Initia </td> </tr> </table>	Discrepancy Details	Maturity Details	Limits and Collaterals	Commission,Charges and Taxes	Discrepancy Code 1 : Discrepancy Code 2 :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia
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<table> <tr> <th>Parties Details</th> <th>Assignment of Proceeds</th> </tr> <tr> <td> Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC </td> <td> Assignmentofproceeds: No Assignee Name : Assigned Amount : </td> </tr> </table>	Parties Details	Assignment of Proceeds	Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC	Assignmentofproceeds: No Assignee Name : Assigned Amount :													
Parties Details	Assignment of Proceeds																
Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC	Assignmentofproceeds: No Assignee Name : Assigned Amount :																

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Advices - User can view the advices.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Submit	Task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in user's queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Data Enrichment

Data Enrichment is applicable only for non-discrepant drawings. A DE User can input Import LC update Drawing. As part of data enrichment user will enter/update basic details of the incoming request.

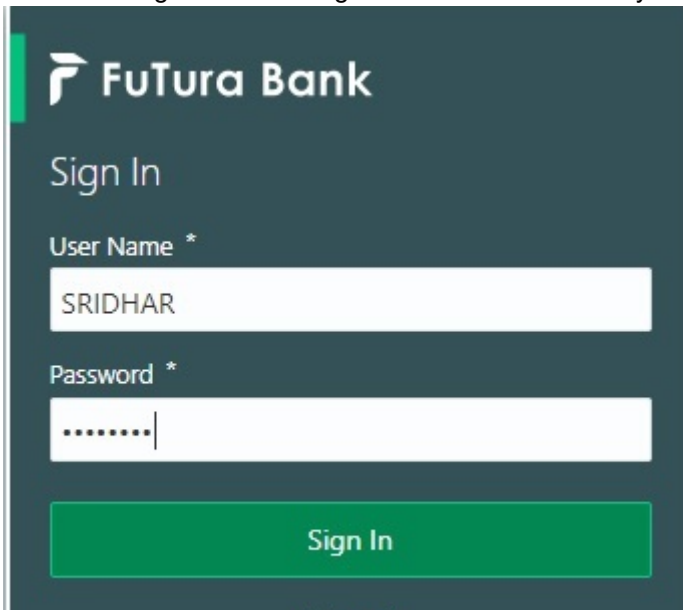


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

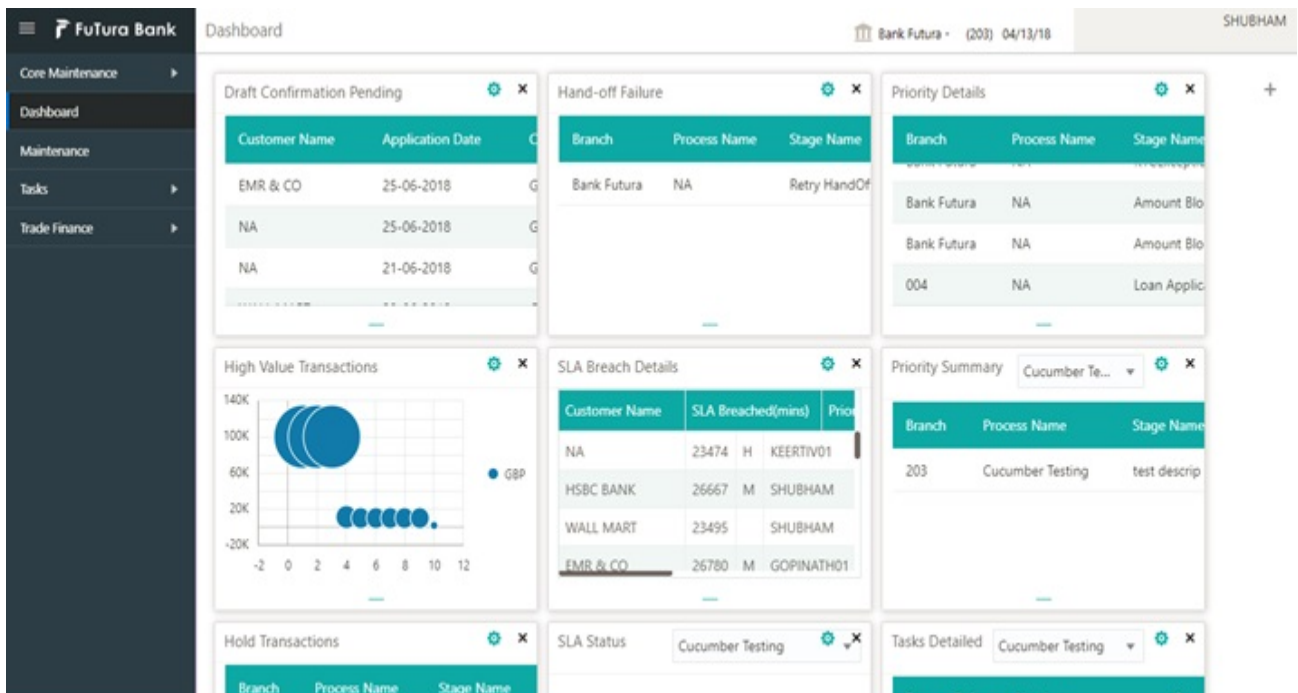
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

- Dashboard
- Maintenance
- Tasks
- Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATHO1

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

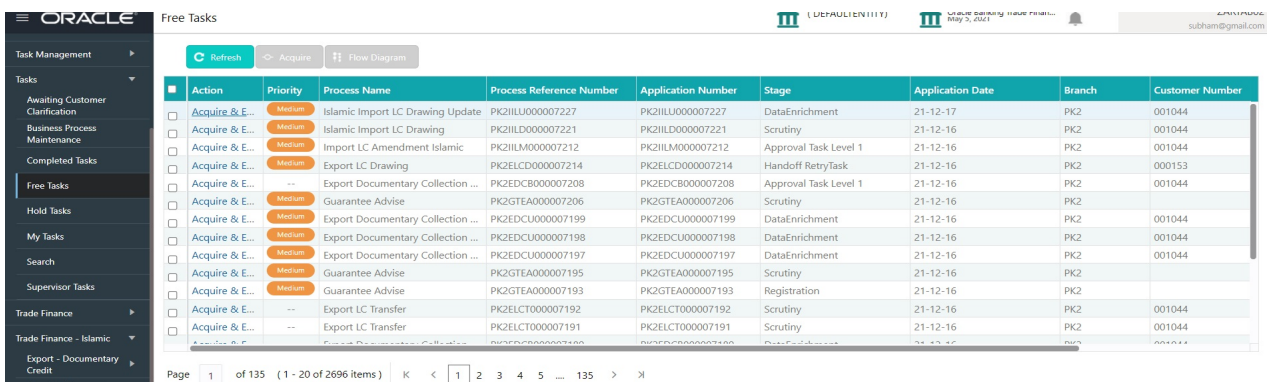
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



ORACLE Free Tasks

Task Management

- Tasks
- Completed Tasks
- Free Tasks
- Hold Tasks
- My Tasks
- Search
- Supervisor Tasks
- Trade Finance
- Trade Finance - Islamic
- Export - Documentary
- Credit
- Import - Documentary

Free Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	Medium	Islamic Import LC Drawing Update	PK2IILU000007227	PK2IILU000007227	DataEnrichment	21-12-17	PK2	001044
Acquire & E...	Medium	Islamic Import LC Drawing	PK2IILD000007221	PK2IILD000007221	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & E...	Medium	Export Documentary Collection ...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection ...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection ...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection ...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Transfer	PK2ELCT000007191	PK2ELCT000007191	Scrutiny	21-12-16	PK2	001044

Page 1 of 135 (1 - 20 of 2696 items)

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	DataEnrichment	21-12-17	PK2	000335
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Import LC Amendment L...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	Medium	Islamic Import LC Drawing Upda...	PK2IILU000007227	PK2IILU000007227	DataEnrichment	21-12-17	PK2	001044
Edit	Medium	Import LC Issuance Islamic	PK1IILU000007200	PK1IILU000007200	KYC Exceptional approval	21-12-16	PK2	000325
Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000007183	PK2SGTI000007183	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000007179	PK2GTEC000007179	Scrutiny	21-12-16	PK2	001044
Edit	Medium	Guarantee advise claim lodging	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Closure	PK2GTEC000007172	PK2GTEC000007172	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee SBLC Issuance -Claim...	PK2GISC000007171	PK2GISC000007171	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Am...	PK2GTEI000007167	PK2GTEI000007167	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Am...	PK2GTEI000007163	PK2GTEI000007163	Registration	21-12-15	PK2	001044
Edit	Medium	Guarantee Issuance Amendment ...	PK2GTEI000007162	PK2GTEI000007162	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Cancellation	PK2GTEC000007160	PK2GTEC000007160	DataEnrichment	21-12-15	PK2	000325
Edit	Medium	Guarantee Advise Cancellation	PK2GTAC000007159	PK2GTAC000007159	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Advise Amendment	PK2GTAA000007158	PK2GTAA000007158	DataEnrichment	21-12-15	PK2	001044

The Data Enrichment stage has five sections as follows:

- Main Details
- Beneficiary Confirmation Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Drawing Summary](#).

Shipment Details

Refer to [Shipment Details](#).

Additional Conditions

Refer to [Additional Conditions](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Advices

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILU000007227

Main Details

Drawing Summary

Advices

Maturity Details

Additional Details

Settlement

Summary

Advices

Advice : PAYMENT_MESS...

Advice Name : PAYMENT_MESSAGE

Advice Party :

Party Name :

Suppress : NO

Advice

Overrides

Customer Instruction

Common Group Messages

Incoming Message

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

As part of DE the user can verify and enter the basic additional details available in the Islamic Import LC Update Drawing. In case the request is received through online channel user will verify the details populated.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILU000007227

III

Overrides

Customer Instruction

Common Group Messages

III Incoming Message

Screen (5 / 7)

Main Details

Drawing Summary

Advices

Maturity Details

Additional Details

Settlement

Summary

Additional Details

Limits & Collaterals

Charge Details

Preview Message

Assignment of Proceeds

Finance Preferences

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Limits & Collaterals	Charge Details	Preview Message	Assignment of Proceeds
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : USD Collateral : 880 Contribution :	Charge : Commission : Tax : Block Status :	Language : Preview Advice : -	AssignmentOfProceeds No Assignee Name : Assigned Amount :

Finance Preferences

:
:
Finance Amount :

Revolving Details

Refer to [Revolving Details](#).

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Charge Details](#).

Payment Details

Payment Details

Advance by Finance

Transfer Collateral from LC

Immediate Liquidation Required

Auto Liquidate

Immediate Accept

Reimbursement Claimed

Reimbursing Bank

Reimbursement Date

Liquidate using Collateral

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
No data to display.						

Page 1

(0 of 0 items)

<

1

>

Save & Close

Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Advance by Finance	Read only field. Advance by finance enables creation of loan at the time of Final liquidation.	
Transfer Collateral from LC	Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.	
Immediate Liquidation Required	This toggle is applicable only for sight LC's and only if the drawings are without discrepancy. Switch on the toggle to enable immediate liquidation for the drawing.	
Auto Liquidate	Yes: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy. No: Select No if auto liquidation is not required	
Immediate Accept	Read only field. Immediate Accept enables immediate accept.	
Reimbursement Claimed	Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.	

Field	Description	Sample Values
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Discrepancy Tracer

Provide the discrepancy tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required ☐
Number of Tracers
Tracer Frequency
Tracer Medium
Tracer Receiver Party
Tracer Start Date

Discrepancy Tracer

Tracer Required ☐
Number of Tracers
Tracer Frequency
Tracer Medium
Tracer Receiver Party
Tracer Start Date

Acceptance Tracer

Tracer Required ☐
Number of Tracers
Tracer Frequency
Tracer Medium
Tracer Receiver Party
Tracer Start Date

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	

Field	Description	Sample Values
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Acceptance Tracer

Provide the acceptance tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required

☐

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Discrepancy Tracer

Tracer Required

☐

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Acceptance Tracer

Tracer Required

☐

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Save & Close

Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch of the toggle, if user does not require to capture tracer details.</p>	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	<p>Select the tracer medium from the LOV:</p> <ul style="list-style-type: none"> Mail Email Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

47

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

FX Linkage

+

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760012	EUR		\$0.00	1.33	\$0.00			Jun 28, 2020	<div></div> <div></div>

Page 1 of 1 (1 of 1 items)

1

Average FX Rate

0

Save & Close

Cancel

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> • Counterparty of the FX contract should be the counterparty of the Bill contract. • Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	

Field	Description	Sample Values
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Finance Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

Finance Preferences
✕

Drawing/Collection Ref

Product Code

Bill Currency-Amount

Tenor Type

Customer Id

Original Exchange Rate

Rate Type

Customer Name

Exchange Rate

Rate Code

User Defined Element ID

UDE Description

Rate Code

Usage

UDE Value

No data to display.

Limits

Linkage Type

Linkage Reference Number

Linked Percentage

Limit Amount

No data to display.

Save & Close

Cancel

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Read only field. This field displays the loan product linked to the drawing product.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer ID	Read only field. This field displays the customer ID of the applicant/applicant bank.	
Customer Name	Read only field. This field displays the applicant/applicant bank name.	
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Currency-Amount	Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange rate.	
Exchange Rate	Exchange rate applicable for Local Currency. User can enter the Exchange rate. System validates the exchange rate is within the allowed range.	
Tenor Units	Application defaults the loan tenor units based on the product.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Rate Type	System defaults the rate type.	
Rate Code	System populates the Rate code as part of simulation.	
UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	
Rate Code	System populates the Rate code as part of simulation.	
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	

Field	Description	Sample Values
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

Preview

User can view the draft preview of the advise.

Profit Details

The user can view and modify the Interest Details Simulated from Back Office system.

Interest Details X

Recalculate

Redefault

Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spre...	Max Spread	Spread	Min Rate	Max Ra...	Rate	Modified Rate	Currency	Amou...	Waive	Charge Par...	Settlement Account
IBC_ACP_IN	BC IMP ACCEPTANCE COMMISSION	Fixed					2	16	6.2		EUR	€0.85	<input type="radio"/>	Applicant	PK1000325025 Q

Save & Close

Close

Linked Loan Details

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> Fixed Floating Special 	
Rate Code	This field displays the rate code applicable for the Componen.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	

Field	Description	Sample Values
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display an override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM)..	

Linked Finance Details

This user can view the details of linked loan accounts.

Linked Finance Details		
LinkedFinance Details		
Finance Account	Finance Currency	Finance Amount
No data to display.		
Close		

Provide the linked loan details based on the description in the following table:

Field	Description	Sample Values
Finance Account	The details of the linked loan account.	
Finance Currency	Loan Currency of the linked loan account.	
Finance Amount	Loan amount of the linked loan account.	

Settlement Details

As part of DE, the user will verify and enter the basic additional details available in the Islamic Import LC update drawing. In case the request is received through online channel user will verify the details populated.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILU000007227

Screen (6 / 7)

Settlement

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
BILL_LIQ_AMT	USD	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
BILL_LIQ_AMTEQ	USD	Credit	PK20010440017	WELLS FARGO LA	USD	No	No
BKTAX_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
CASH_COLL_AMTEQ	USD	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMNDAMT	USD	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LQTAX_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none">• Customer Transfer• Bank Transfer for own account• Direct Debit Advice• Managers Check• Customer Transfer with Cover• Bank Transfer	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none">• Beneficiary All Charges• Remitter Our Charges• Remitter All Charges	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none">• Yes• No	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage Islamic Import LC Update Drawing request. As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILLU000007227

Summary

Main Details Product Code : DCN8 Currency : USD Amount : 1000	Document Details Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Shipment Details Goods Code : Goods Description :	Additional Conditions FFT Code 1 : FFT Code 2 :	Discrepancy Details Discrepancy Code 1 : Discrepancy Code 2 :
Payment Details Immediate Liquidation: Immediate Accept : Reim Claimed :	FX Linkage Reference Number : Contract Amount : Contract Currency :	Finance Preferences Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Maturity Details Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified
Commission,Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia	Assignment of Proceeds Assignmentofproceeds No Assignee Name : Assigned Amount :	Preview Messages Language : ENG Preview Message :	Settlement Component : LQTAX_AMIT Account Number : PK20010440 Currency : GBP	Parties Details Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC
Compliance details KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Accounting Details Event : AccountNumber : Branch :	Advices Advice 1 : PAYMENT_ME	Linked Finance Details financeAcc : financeCcy : financeAmt :	Profit Details Component : Amount : Event :

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Confirmation Details - User can view the beneficiary response details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Revolving Details - User can view the revolving details.

- Maturity Details - User can view the maturity details.
- Payment Details - User can view the payment details.
- Preview Details - User can view the preview the draft message.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details – User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. The checks to external system/internal system is initiated after the Data Enrichment stage.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Import LC Drawing Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Import LC Drawing KYC exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

The user can view the summary of details updated in multilevel approval stage of Import LC update Drawing. Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- Beneficiary Party
- Drawing Currency
- Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'Approval Rekey' dialog box in the Futura Bank OBTFM application. The dialog box has a title bar with 'Approval Rekey' and a close button. It contains four input fields, each with a green checkmark indicating a successful re-key:

- Applicant Party:** 000262
- Beneficiary Party:** 000263
- Drawing Currency:** GBP
- Drawing Amount:** £1,200.00

At the bottom of the dialog box are three buttons: 'Proceed', 'Refer', and 'Cancel'. The background of the application shows a 'Free Tasks' section with a list of tasks and a table of process details with columns for Process Name, Stage, and Back Office Ref No.

Summary

Islamic Import LC Drawing Update - Approval Task Level 1 :: Application No: PK2ILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details	Document Details	Shipment Details	Additional Conditions	Discrepancy Details	Payment Details
Product Code : DCN8 Currency : USD Amount : 1000	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : FFT Code 2 :	Discrepancy Code 1 : Discrepancy Code 2 :	Immediate Liquidation: Immediate Accept : Reim Claimed :
FX Linkage	Finance Preferences	Maturity Details	Limits and Collaterals	Commission,Charges and Taxes	Assignment of Proceeds
Reference Number : Contract Amount : Contract Currency :	Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Assignmentofproceeds No Assignee Name : Assigned Amount :
Preview Messages	Settlement	Linked Finance Details	Parties Details	Compliance details	Accounting Details
Language : ENG Preview Message : -	Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP	financeAcc : financeCcy : financeAmt :	Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC	KYC : Verified Sanctions : Verified AML : Verified	Event : AccountNumber : Branch :
Advices	Profit Details	Profit Details	Exception(Approval)		
Advice 1 : PAYMENT_ME	Component : Amount : Event :	Component : Amount : Event :	EXCEPTION : Nil		
Audit				Reject	Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view payment details.
- Settlement Details - User can view settlement details.
- Preferences - User can view the loan preferences.
- FX Linkage - User can view the FX linkage details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Update Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Drawing Details - User can view the drawing details.
- Documents Under LC - User can view the documents received under the LC for this drawing, if required.
- Shipment - User can view shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

A

Additional Details	
Action Buttons	35
Charge Details	32, 42
Limits & Collateral	29, 42
Payment Details	43
Revolving Details	42

B

Benefits	1
----------	---

D

Data Enrichment	38
Additional Conditions	41
Additional Details	42
Advices	41
Discrepancy Details	41
Document Details	40
Main Details	40
Maturity Details	41
Shipment Details	41

E

Exceptions	58
Exception - Amount Block	58
Exception - Know Your Customer (KYC)	59

I

Import LC Update Drawing	2
Data Enrichment	38
Exceptions	58
Multi Level Approval	61
Registration	2
Scrutiny	11

K

Key Features	1
--------------	---

M

Main Details	
Action Buttons	15
Application	14
Application Details	14
Drawing LC Details	14
Maturity Details	
Other Bank Charges	27
Multi Level Approval	
Authorization Re-Key	61

O

Overview	1
----------	---

R

Registration	2
Application Details	5
Drawing LC Details	7
Miscellaneous	10
Reject Approval	63
Action Buttons	64
Application Details	64
Summary	64

S

Scrutiny	11
Additional Details	28
Document Details	17
Main Details	13
Shipment Details	18
Shipment Details	
Carrier Details	21
Goods Details	18
Shipment Details	20

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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